

South Somerset District Council Audit Plan Progress 2012/13

APPENDIX B

Audit Type	Audit Title	Quarter	Status	Opinion	No. of recs	Major - Recommendations - Minor				
						5	4	3	2	1
Governance	Register of Interests - Members Related Parties Follow-Up	Qtr 1	Complete	Non-Opinion	0	0	0	0	0	0
Governance	Boden Mill Site & Chard Regeneration Scheme Statement of Accounts	Qtr 1	Complete	Non-Opinion	0	0	0	0	0	0
ICT	Service Management & Governance	Qtr 1	Final	Reasonable	3	0	0	3	0	0
ICT	Data Security Breaches	Qtr 1	Draft	Reasonable	17	0	0	9	8	0
Governance	Fraud and Corruption - Creditors	Qtr 1	Final	Reasonable	5	0	0	5	0	0
Operational	Goldenstones	Qtr 1	Final	Reasonable	5	0	0	4	1	0
Key Income	Workplace Nursery Income	Qtr 2	Removed		0	0	0	0	0	0
Key Income	Plant Nursery Income	Qtr 2	Final	Reasonable	5	0	0	4	1	0
Operational	Debt Management	Qtr 2	Deferred		0	0	0	0	0	0
Operational	Energy Management	Qtr 2	Final	Reasonable	3	0	0	3	0	0
Operational	Development Control	Qtr 2	Final	Reasonable	12	0	0	10	2	0
Key Income	Wincanton Sports Centre Income	Qtr 2	Final	Reasonable	8	0	0	7	1	0
Governance	Contract Procurement	Qtr 2	Draft	Reasonable	3	0	0	3	0	0
Governance	Better Contract Management	Qtr 2	Final	Partial	15	0	1	13	1	0
Special Review	Bullying & Harrasment Claim	Qtr 2	Complete	Non-Opinion	0	0	0	0	0	0
Key Income	Licensing Income	Qtr 3	Final	Reasonable	4	0	0	2	2	0
Operational	Housing Benefit Fraud Follow-Up	Qtr 3	Complete	Non-Opinion	0	0	0	0	0	0
Governance	Treasury Management Strategies	Qtr 3	In Progress		0	0	0	0	0	0
Governance	TEN Risk Management System	Qtr 3	Draft	Partial	13	0	2	10	1	0
Key Income	Homelessness Income	Qtr 3	In Progress		0	0	0	0	0	0
Key Control, Finance	Capital Accounting	Qtr 3	Final	Substantial	0	0	0	0	0	0
Key Control, Finance	Council Tax and NNDR	Qtr 3	Final	Reasonable	3	0	0	3	0	0
Key Control, Finance	Creditors	Qtr 3	Final	Reasonable	1	0	0	1	0	0
Key Control, Finance	Debtors	Qtr 3	Final	Reasonable	1	0	0	1	0	0
Key Control, Finance	Housing and Council Tax Benefits	Qtr 3	Final	Substantial	1	0	0	0	1	0
Key Control, Finance	Main Accounting	Qtr3	Final	Reasonable	6	0	2	2	2	0
Key Control, Finance	Payroll	Qtr 3	Final	Reasonable	2	0	0	2	0	0
Key Control, Finance	Treasury Management	Qtr 3	Final	Substantial	0	0	0	0	0	0
Operational	Property Services Follow-Up	Qtr 4	Final	Non-Opinion	0	0	0	0	0	0
Operational	Yeovil Crematorium	Qtr 4	Final	Reasonable	13	0	2	5	6	0
Governance	Yeovil Crematorium and Cemetery Annual Return	Qtr 4	Complete	Non-Opinion	0	0	0	0	0	0
Governance	Change Management (Remote and Mobile Working)	Qtr 4	In Progress		0	0	0	0	0	0
Governance	Asset Management Planning	Qtr 4	Draft	Reasonable	3	0	0	3	0	0
Governance	Fraud and Corruption - Expenses	Qtr 4	Final	Reasonable	2	0	0	0	2	0
Key Income	Car Parks Income	Qtr 4	Final	Reasonable	4	0	0	2	2	0
Key Income	Octagon Theatre Income	Qtr 4	Final	Reasonable	3	0	0	2	1	0
Key Income	Section 106 Agreements Income	Qtr 4	Final	Substantial	2	0	0	0	2	0
Key Income	Careline Income	Qtr 4	In Progress		0	0	0	0	0	0
				<b>TOTALS</b>	<b>134</b>	<b>0</b>	<b>7</b>	<b>94</b>	<b>33</b>	<b>0</b>